

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)) **Group Art Unit:** To be assigned
Jane R. Garrison, et al.)) **Examiner:** To be assigned
Serial No.: To be assigned))
Filed: Herewith))
For: METHOD AND SYSTEM FOR))
CONSUMMATING A TRANSACTION))
IN A BIOMETRIC VERIFICATION))
SYSTEM BASED ON PRIOR))
TRANSACTIONAL HISTORIES))

J1046 U.S. PTO
09/828497
04/05/01

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

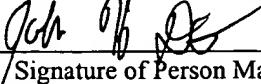
In compliance with the Applicants' duty under 37 CFR 1.97-98, the following information is brought to the attention of the Examiner. The items are listed on the attached Form PTO-1449 and copies are enclosed for the convenience of the Examiner.

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

Upon reasonable basis and information and belief, I hereby certify, to the best of my knowledge, that this correspondence, including those papers, letters, or fees, if any, referred to as being attached, enclosed, or otherwise affixed hereto, is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EF062174117US
Express Mail Label No.

April 5, 2001
Date of Deposit

John H. D'Antico
Name of Person Mailing Paper

Signature of Person Mailing Paper

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR 1.56 and the submission thereof by Applicants shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicants as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

This Information Disclosure Statement is believed to be timely in that it is being submitted under 37 CFR 1.97(b) (3) before the mailing of a first Office Action on the merits, whereby no petition or fee is required. However, if counsel for Applicant is in error in this regard, the Commissioner is requested to consider this a petition and he is authorized to charge any required petition fee to counsel's Deposit Account No. 50-1273.

Respectfully submitted,

Brobeck, Phleger & Harrison LLP

Dated: April 5, 2001

By:


John H. D'Antico
Reg. No. 45,917

4801 Plaza on the Lake
Austin, Texas 78746
Phone (512) 330-4000
Fax (512) 330-4001